

Redemption request submitted along with change of bank mandate would result in payment being withheld upto 10 days for validating new bank mandate. Effective May 01, 2012 the forms for redemption request and change of bank account will be segregated to ensure that the two different requests are handled and executed separately for all existing and new customers.

Distributor's ARN & Name	Sub-broker's ARN (Code)	Sub-broker Code (internal)	EUIN* (Employee Unique Identification Number)	Registered Investment Adviser (RIA) Code
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\* **Declaration for "Execution only" transaction (only where EUIN box is left blank)** ☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice or inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

## ISC's signature & Time Stamping

Signature		
First / Sole Applicant / Guardian	Second Applicant	Third Applicant

Folio No	
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Name of First/Sole Applicant (Please use capital Letters)

[illegible]

Name of Guardian in case First / Sole Applicant is a Minor (capital Letters)

[illegible]

Permanent Account Number (PAN)

Aadhaar Card Number

Central KYC Number

☐ CKYC Proof attached

First Name								Last Name								Gender								Age							
First/Sole Applicant/Guardian																															
Second Applicant																															
Third Applicant																															

☐ **Redemption**

Are you submitting a change of bank request along with your redemption ☐ Yes (if yes, please also use the stand alone Service Request form) ☐ No

Fund Name: ..... Plan: ☐ Regular ☐ DirectOptions: **Dividend** ☐ Payout ☐ Re-Investment ☐ Sweep ☐ **Growth** ☒ Amount ☐ Units.....☐ Switch

Please read SID &amp; KIM of respective scheme(s)

☐ Amount ☐ Units.....

**From:** ..... **Plan:** ☐ Regular ☐ Direct **Options:** **Dividend** ☐ Payout ☐ Re-Investment ☐ Sweep ☐ **Growth**

**To:**..... **Plan:** ☐ Regular ☐ Direct **Options:** **Dividend** ☐ Payout ☐ Re-Investment ☐ Sweep\* ☐ **Growth**

\*Dividend Sweep Option (Target Fund) .....

**Declaration:** I/we • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date, hereby apply for units under the scheme(s) as indicated in the transaction slip, • agree to abide by the terms, conditions, rules and regulations of the scheme(s), • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding Rs. 50,000 in a financial year or a rolling period of twelve months (applicable for PAN exempt category of investors). The KIM holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/ We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities and other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

**Applicable to NRIs only:** Please ☒ ☐ I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We further declare that I/We am/are not a citizen of USA / Canada.

Signature

First / Sole Applicant / Guardian	Second Applicant	Third Applicant
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Request Date	D	D	M	M	Y	Y	Y	Y
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**Acknowledgement** ☐ **Redemption** ☐ **Switch**

Request Date:

Time Stamp/Seal

**Folio No:** ..... **Fund:** .....

In case of switch, **Target scheme** .....

☐ Amount ☐ Units.....

Plans: ☐ Regular ☐ DirectOptions: ☐ **Growth or Dividend** ☐ *Payout* ☐ *Re-Investment* ☐ *Sweep*

**To be submitted along with the application form:** 1. Your **FATCA-CRS Details** (Foreign Account Tax Compliance Act) & **KYC Additional Details** (if not already submitted), and 2. **Ultimate Beneficial Owner(s) (UBO)** information (for non-individuals only). Please quote the **Central KYC (CKYC)** number in the boxes provided above or submit your filled-in **CKYC Form** in case of new investor and additional **CYKC form** in case of existing investors, irrespective of the investment amount. The forms are available on our website.