

# SIP TRANSACTION FORM

## Single / Multiple SIP Option

Please read the instructions before filling up the Application Form.  
Tick (✓) whichever is applicable, strike out whichever is not required.

# PGIM INDIA SMART SIP



# PGIM

India Mutual Fund

### 1. DISTRIBUTOR INFORMATION

ARN code	RIA code	ARN / RIA Name	Sub broker ARN code	Sub broker code **	EUIN*
	RIA -		ARN -		

\*Employee Unique Identification Number \*\*As allotted by ARN holder

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Declaration for "execution-only" transaction (only where EUIN box is left blank). I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

By mentioning RIA code, I/We authorize you to share my/our transactions data feed/portfolio holdings/ NAV details under Direct Plan of scheme(s) managed by you with the Investment Adviser.

### 2. APPLICANTS DETAILS (MANDATORY)

(Mandatory to submit FATCA & CRS declaration form if not submitted earlier or in case of change in status.)

(Refer Section 2 under instructions)

Sole/First Unit Holder  First Name  Middle Name  Last Name   
Folio No.  PAN\*  \* Mandatory

### 3. INVESTMENT DETAILS (MANDATORY)

☐ New SIP Registration ☐ SIP renewal ☐ Change in Bank Details (for a SIP registered earlier)

☐ OTM Debit Mandate is already registered in the folio. Please fill, Unique Mandate (UMRN)

Debit Bank Name  Account No.

☐ OTM Debit Mandate to be registered in the folio. (If selected, OTM to be filled in mandatorily)

☐ I do not wish to avail the SIP Insurance facility

### 4. SINGLE SIP DETAILS#

Scheme  Plan

Option (✓) ☐ Growth OR ☐ Payout of IDCW\*\* OR ☐ Reinvestment of IDCW\*\* OR ☐ Transfer/Sweep of IDCW\*\* IDCW\*\* Frequency

Payment Type [Please (✓)] ☐ Non-Third Party Payment ☐ Third Party Payment (Please attach 'Third Party Payment Declaration Form') (\*\*Refer Instruction No.4)

#### 1st Instalment Details

Amt. (₹)  Chq/DD No.  Dated:  Drawn on:

☐ SIP Investment (Please ✓ any one) ☐ Monthly ☐ Quarterly

☐ SIP THROUGH AUTO DEBIT (ECS/Direct Debit/NACH)  
Please also fill and attach the SIP Auto Debit Facility Form OR

☐ SIP THROUGH POST-DATED CHEQUE Second and subsequent Instalment cheque Details

Cheque Nos. From  To

Dated From  To

Second and Subsequent Instalment Details: (All subsequent instalment amounts should be same as the first instalment.)

Instalment Amount ₹

SIP Date:  (Any date of the month except 29/30/31)

☐ Till I/We instruct to discontinue the SIP

Please mention  
Enrolment Period: From  To

# Multiple SIP, please fill details in point 5.

### ONE TIME MANDATE FORM FOR NACH / ECS / AUTO DEBIT / LUMP SUM / SIP



# PGIM

India Mutual Fund

### ONE TIME MANDATE FORM

(\*Mandatory field)

UMRN  For office use  Date\*

Sponsor Bank Code  CITI000PIGW Utility Code  CITI 00002000000037

CREATE ☒ I/We hereby authorize  PGIM INDIA MUTUAL FUND to debit (Please ✓)  SB / CA / CC / SB-NRE / SB-NRO / Other

MODIFY ☒ CANCEL ☒ Bank a/c number\*

With Bank\*  Name of customers bank  IFSC\*  MICR\*

an amount of Rupees\*  Amount in words  ₹  In Figures

FREQUENCY\* ☒ Mthly ☒ Qtly ☒ H-Yrly ☒ As & When presented DEBIT TYPE\* ☒ Fixed Amount ☒ Maximum Amount

Reference - 1  Application no. / Folio number Phone No

Reference - 2  Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD\*

From        
To        
OR ☒ Until Cancelled

x x Signature of first account holder x x Signature of second account holder x x Signature of third account holder

Name of first account holder\* Name of second account holder\* Name of third account holder\*

- This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the User entity/ Corporate to debit my account.
- I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the User entity/ corporate or the bank where I have authorized the debit.

## 5. MULTIPLE SIP DETAILS

Sr. No.	Scheme / Plan / Option	Frequency	SIP Date	Enrollment Period	SIP Amount
1		<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	<div> <div>D</div> <div>D</div> </div>	From <div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> </div> To <div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> </div> <input type="checkbox"/> Untill Cancelled	₹ <div> <div>In Figures</div> <div>in words</div> </div>
2		<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	<div> <div>D</div> <div>D</div> </div>	From <div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> </div> To <div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> </div> <input type="checkbox"/> Untill Cancelled	₹ <div> <div>In Figures</div> <div>in words</div> </div>
3		<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	<div> <div>D</div> <div>D</div> </div>	From <div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> </div> To <div> <div>M</div> <div>M</div> <div>Y</div> <div>Y</div> </div> <input type="checkbox"/> Untill Cancelled	₹ <div> <div>In Figures</div> <div>in words</div> </div>
In case of multiple schemes, cheque/DD should be drawn in favour of "PGIM India Mutual Fund - Common Collection A/c"					Total ₹ <div> <div>In Figures</div> <div>in words</div> </div>

**DECLARATION & SIGNATURE:** I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above to debit my/our account directly or through participation in Auto Debit. If the transaction his delayed or not effected at all for reasons of incomplete or incorrect information. I/We would not hold the user institution responsible. I/We will also inform AMC, about any changes in my/our bank account. I/We have read and agreed to the terms and conditions mentioned. I/We confirm that the ARN Holder has disclosed to me/us all the commissions (in the form of trail commission or any Other mode), payable to him for different competing Schemes of various Mutual Funds from amongst which the Scheme is recommended to me/us. **For investors investing in Direct Plan:** I/We hereby agree that the AMC has not recommended or advised me/us regarding the suitability or appropriateness of the product/scheme/plan. **Applicable to Micro Investors (Delete if not applicable):** I/We hereby declare that I/We do not have any existing Micro Investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year.

☐ **Please ✓ if the EUIN space is left blank:** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

**Authorisation to Bank:** This is to inform that I/We have registered for ECS / NACH (Debit Clearing) / Direct Debit / Standing instructions facility and that my/ourpayment towards my/our investment in PGIM India Mutual Fund shall be made from my/our below mentioned bank account with your Bank. I/We authorize the representatives of PGIM India Mutual Fund carrying this mandate form to get it verified and executed. I/We authorize the bank to debit my account for any charges towards mandate verification, registration, transactions, returns, etc. as applicable.

SIGNATURE (S) (Applicants must sign as per Common Application Form)			
	✕ Sole/1 <sup>st</sup> Applicant/Guardian/Authorised Signatory/POA	✕ 2 <sup>nd</sup> Applicant/Guardian/Authorised Signatory/POA	✕ 3 <sup>rd</sup> Applicant/Guardian/Authorised Signatory/POA

## 6. BANKER'S ATTESTATION (Mandatory, if your First SIP instalment is through a Demand Draft/Pay Order)

Certified that the signature of account holder and the Details of Bank account are correct as per our records

Signature verification request (To be retained by the Customer's Bank)

Signature of Authorised Official from Bank (Bank stamp and date)